

**Almondz Global Securities Ltd.**

Almondz Global Securities Limited  
CIN No:- L74899DL1994PLC059839

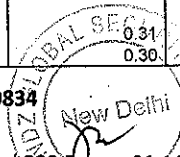
Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001

Statement of Standalone Unaudited Financial Results for the Quarter/Nine months ended 31 December 2019

(Rs. in Lakh except per share data)

Particulars	Quarter ended			Nine Months ended	
	December 31, 2019	September 30, 2019	December 31, 2018	December 31, 2019	December 31, 2018
	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited
<b>Income</b>					
<b>I Revenue From operations</b>					
Interest Income	-	-	-	-	-
Dividend Income	1	2	-	5	5
Rental Income	-	-	-	-	-
Fees and commission income	695	641	803	2,052	2,566
Net gain on fair value changes	-	-	-	-	-
Net gain on derecognition of financial instruments under amortised cost category	-	-	-	-	-
Sale of products	-	-	-	-	-
Others	45	17	62	79	(3)
<b>Total Revenue From operations (I)</b>	<b>741</b>	<b>660</b>	<b>865</b>	<b>2,136</b>	<b>2,668</b>
<b>II Other Income</b>	<b>94</b>	<b>93</b>	<b>74</b>	<b>289</b>	<b>205</b>
<b>III Total Income (I+II)</b>	<b>835</b>	<b>753</b>	<b>939</b>	<b>2,425</b>	<b>2,773</b>
<b>IV Expenses</b>					
Finance costs	10	4	2	22	54
Fees and commission expense	411	420	397	1,154	912
Net (Gain) / loss on fair value changes	1	(18)	4	22	230
Net loss on derecognition of financial instruments under amortised cost category	-	-	-	-	-
Cost of material consumed	-	-	-	-	-
Purchases of Stock-in-Trade	-	-	-	-	-
Changes in inventories of finished goods, Stock-in-Trade and work-in-progress	-	-	-	-	-
Employee benefits expense	214	139	315	594	1,027
Depreciation and amortization expense	18	15	19	54	61
Other expenses	135	151	187	455	576
<b>Total expenses (IV)</b>	<b>789</b>	<b>711</b>	<b>924</b>	<b>2,301</b>	<b>2,860</b>
<b>V Profit/(loss) before exceptional items and tax (III-IV)</b>	<b>46</b>	<b>42</b>	<b>15</b>	<b>124</b>	<b>(87)</b>
<b>VI Exceptional items</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>VII Profit/(loss) before tax (V-VI)</b>	<b>46</b>	<b>42</b>	<b>15</b>	<b>124</b>	<b>(87)</b>
<b>VIII Tax expense:</b>	<b>5</b>	<b>15</b>	<b>-</b>	<b>26</b>	<b>(28)</b>
(a) Current tax	11	2	3	26	29
(b) Current tax expense relating to prior years	(9)	-	(2)	(9)	(3)
(c) Deferred tax	3	13	(1)	9	(54)
<b>IX Profit/(Loss) from continuing operations for the period (VII-VIII)</b>	<b>41</b>	<b>27</b>	<b>15</b>	<b>98</b>	<b>(59)</b>
<b>X Profit/(Loss) from discontinued operations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XI Tax expenses of discontinued operations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XII Profit from Discontinued operations (after tax) (X-XI)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XIII Net Profit (loss) for the period (IX+XII)</b>	<b>41</b>	<b>27</b>	<b>15</b>	<b>98</b>	<b>(59)</b>
<b>XIV Other Comprehensive Income</b>					
A (i) Items that will not be reclassified to profit or loss					
-> Re-measurement losses on defined benefit plans	(9)	(9)	(9)	(26)	(26)
-> Income tax relating to items that will not be reclassified to profit or loss	3	3	2	7	7
B. (i) Items that will be reclassified to profit or loss					
(ii) Income tax relating to items that will be reclassified to profit or loss	-	-	-	-	-
<b>XV Total Comprehensive Income for the period (Comprising Profit and Other comprehensive Income for the period) (XIII+XIV)</b>	<b>35</b>	<b>21</b>	<b>8</b>	<b>79</b>	<b>(78)</b>
<b>XVI Paid-up Equity Share Capital (face value of Rs. 6 each)</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>
<b>XVII Earnings per equity share (for continuing operation)</b>					
(a) Basic	0.14	0.08	0.03	0.31	(0.30)
(b) Diluted	0.13	0.08	0.03	0.30	(0.30)
<b>XVIII Earnings per equity share (for discontinued operation):</b>					
(a) Basic	-	-	-	-	-
(b) Diluted	-	-	-	-	-
<b>XIX Earnings per equity share (Total) including Other Comprehensive Income</b>					
(a) Basic	0.14	0.08	0.03	0.31	(0.30)
(b) Diluted	0.13	0.08	0.03	0.30	(0.30)

SEBI Merchant Banking Registration No. INM00000834



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CIN : L74899DL1994PLC059839 © Email : delhi@almondz.com © Website : www.almondzglobal.com

Registered Office: 2<sup>nd</sup> Floor, 3 Scindia House, Janpath, New Delhi 110001 India.Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

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## Notes

- 1 The above results have been reviewed and recommended by the Audit Committee and approved by the Board at their meeting held on February 12, 2020
- 2 The company has adopted Indian Accounting Standards (Ind AS) from April 1, 2019 with a transition date of April 1, 2018. The financial results have been prepared in accordance with Ind AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard) Rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2016.
- 3 Reconciliation of the financial results of corresponding quarter and nine months ended 31 December 18 to those reported under previous IGAAP are summarized below:

Particulars	(Rs. In Lakh)	
	Quarter ended December 31, 2018	Nine Months ended December 31, 2018
Profit after tax as per previous GAAP	15	106
<b>Adjustments:</b>		
Amortisation of interest free loan to Employees	-	-
Amortisation of SD receivable/payable	-	(2)
Fair value of investment	1	(85)
Actuarial loss on defined benefit plans	8	26
Expected credit gain / ( loss)	(7)	(16)
Fair value of inventory	(4)	(146)
Amortisation of Processing fees	-	-
Tax impact of above adjustments	(4)	54
<b>Total adjustments</b>	<b>(6)</b>	<b>(169)</b>
<b>Profit after tax as per Ind AS</b>	<b>9</b>	<b>(63)</b>
<b>Other comprehensive income (net of tax):</b>		
Actuarial loss on defined benefit plans (net of tax)	(1)	(15)
<b>Total comprehensive income as per Ind AS</b>	<b>8</b>	<b>(78)</b>

- 4 The Company has opted to avail the relaxations provided by The Securities and Exchange Board of India (SEBI), vide its circular no. CIR/CFD/FAC/62/2016 dated July 5, 2016 ("the circular"), as available to the listed entities. Accordingly the Company has provided Ind AS compliant financial results only for the corresponding quarter and nine months ended on December 31, 2018 along with financial results for the quarter and nine months ended December 31, 2019.
- 5 In accordance with Ind As-108 "Operating Segments" and based in "management evaluation", the Chief Operating Decision Makers evaluates the Company's performance and allocates resources based on an analysis of various performance indicators by business segment. Accordingly, information has been presented along these business segments. The accounting principles used in preparing financial statements are consistently applied to record revenue & expenditure in individual segments.
- 6 The basic and diluted earnings per share have been calculated in accordance with the Ind AS-33 "Earnings Per Share".
- 7 Figures for the previous period have been regrouped/reclassified wherever necessary to confirm to the current period's classification.

Place: New Delhi  
Date: 12 - Feb-2020

For and on behalf of the Board of  
Almondz Global Securities Limited

*Jagdeep Singh*  
Jagdeep Singh  
Wholetime Director  
DIN : 00006348



**Almondz Global Securities Limited**  
**Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001**  
**Standalone unaudited Segment wise revenue, results , Segment assets and Segment liabilities for the Quarter/Nine months ended 31 December 2019**

Particulars	Quarter ended			Nine Months ended	
	December 31, 2019	September 30, 2019	December 31, 2018	December 31, 2019	December 31, 2018
	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited
<b>Segment Revenue</b>					
(a) Debt and equity market operations	47	20	62	84	2
(b) Consultancy and Advisory fees*	474	470	567	1445	1735
(c) Wealth Advisory / Broking activities	220	170	236	607	831
<b>Income from Operations</b>	<b>741</b>	<b>660</b>	<b>865</b>	<b>2136</b>	<b>2568</b>
<b>Segment results</b>					
Profit before tax and interest from each segment					
(a) Debt and equity market operations	3	-33	-17	-118	-512
(b) Consultancy and Advisory fees*	44	69	70	233	559
(c) Wealth Advisory / Broking activities	23	12	-9	39	-8
<b>Total</b>	<b>70</b>	<b>48</b>	<b>44</b>	<b>154</b>	<b>39</b>
Less:					
1) Unallocable interest	1	1	1	5	27
2) Other unallocable expenditure (net off unallocable income)	23	5	28	25	99
<b>Profit before tax</b>	<b>46</b>	<b>42</b>	<b>15</b>	<b>124</b>	<b>-87</b>
<b>Segment Assets</b>					
(a) Debt and equity market operations	987	780	967	987	967
(b) Consultancy and Advisory fees*	1700	1605	1476	1,700	1476
(c) Wealth Advisory / Broking activities	2200	2025	2634	2,200	2634
(d) Unallocated*	11143	11048	10896	11,143	10896
<b>Total Segment Assets</b>	<b>16030</b>	<b>15458</b>	<b>15973</b>	<b>16030</b>	<b>15973</b>
<b>Segment Liabilities</b>					
(a) Debt and equity market operations	367	5	184	367	184
(b) Consultancy and Advisory fees*	603	764	430	603	430
(c) Wealth Advisory / Broking activities	1365	1191	1616	1365	1616
(d) Unallocated**	1195	1055	1333	1195	1333
	<b>3530</b>	<b>3015</b>	<b>3563</b>	<b>3530</b>	<b>3563</b>

\* Unallocated Assets includes :

- Investments in subsidiaries	6414	6414	6418	6414	6418
- Unallocated Property, Plant & Equipment	2989	3006	3059	2989	3059
- Loans to subsidiaries	670	619	392	670	392
- Other Current & Non Current Assets	1071	1009	1027	1071	1027
	<b>11143</b>	<b>11048</b>	<b>10896</b>	<b>11143</b>	<b>10896</b>

\*\* Unallocated Liabilities includes :

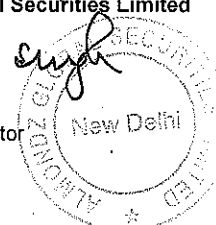
- Long term borrowings	747	804	725	747	725
- Other Current Liabilities	448	251	608	448	608
	<b>1195</b>	<b>1055</b>	<b>1333</b>	<b>1195</b>	<b>1333</b>

\*The businesses Corporate finance / advisory service / infrastructure services have been regrouped / rearranged as consultancy and advisory services.

Place: New Delhi  
Date: 12-Feb -2020

For and on behalf of the Board of  
Almondz Global Securities Limited

*Jagdeep Singh*  
Jagdeep Singh  
Wholetime Director  
DIN : 00008348



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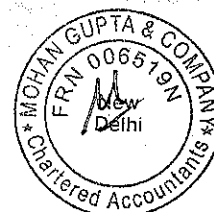
**Independent Auditor's Review Report on the Unaudited Standalone Financial Results of the Almondz Global Securities Limited for the quarter/nine months ended 31<sup>th</sup> December 2019 pursuant to the Regulation 33 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015**

**To the Board of Directors  
Almondz Global Securities Limited**

1. We have reviewed the accompanying Statement of Standalone Unaudited Financial Results ('the statement') of the company, **ALMONDZ GLOBAL SECURITIES LIMITED** ('the company') for the quarter and nine months ended **31 December, 2019** and year to date from 1<sup>st</sup> April 2019 to 31<sup>th</sup> December 2019 (the "Statement") attached herewith, being submitted by the company pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 as amended (the 'Regulation'), read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 ('the Circular').

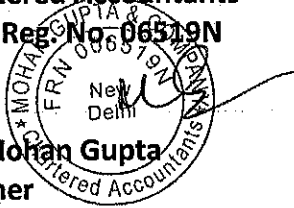
The preparation of the statement in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind-AS 34) "Interim Financial Reporting" prescribed under section 133 of the companies act 2013, as amended, read with the relevant rules issued thereunder and other accounting principles generally accepted in India, read with circular is the responsibility of the Company's management and has been approved by the Board of Directors of the Company. Our responsibility is to issue a report on the statement based on our review.

2. We conducted our review in accordance with the Standard on Review Engagements (SRE) 2410, " Review of Interim Financial Information Performed by an Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. This Standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.
3. Based on our review conducted as stated above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results, prepared in accordance with the recognition and measurement principles laid



down in the applicable Indian Accounting Standard (Ind-AS) prescribed under Section 133 of the Companies Act, 2013, read with relevant rules issued there under and other recognized accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of regulation 33 of the SEBI ( Listing Obligation and Disclosure Requirements) Regulation, 2015, read with SEBI Circular No. CIR/CFD/FAC/62/2016 dated October 5, 2016 including the manner in which it is to be disclosed, or that it contains any material misstatement.

**For Mohan Gupta & Company**  
**Chartered Accountants**  
**Firm Reg. No. 06519N**



**CA Mohan Gupta**  
**Partner**  
**M.no- 082466**  
**Date : 12.02.2020**  
**Place : New Delhi**

**Almondz Global Securities Ltd.**

Almondz Global Securities Limited

CIN: L74899DL1994PLC059839

Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001

Statement of Consolidated Unaudited Financial Results for the Quarter / Nine months ended 31 December 2019

(Rs.in lakh, except per share data)

Particulars	Quarter ended			Nine Months Ended	
	December 31, 2019	September 30, 2019	December 31, 2018	December 31, 2019	December 31, 2018
	Unaudited	Unaudited	Unaudited	Unaudited	Unaudited
<b>Income</b>					
<b>I Revenue From operations</b>					
Interest Income	105	110	119	331	635
Dividend Income	2	1	-	5	2
Rental Income	3	2	3	6	4
Fees and commission income	1,451	1,378	1,683	4,287	5,020
Net gain on fair value changes	-	-	-	-	-
Net gain on derecognition of financial instruments under amortised cost category	-	-	-	-	-
Sale of products	6	8	8	22	26
Others	51	10	91	85	127
<b>Total Revenue From operations</b>	<b>1,618</b>	<b>1,509</b>	<b>1,904</b>	<b>4,736</b>	<b>5,814</b>
<b>II Other income</b>	<b>91</b>	<b>107</b>	<b>74</b>	<b>285</b>	<b>194</b>
<b>III Total income (I+II)</b>	<b>1,709</b>	<b>1,616</b>	<b>1,978</b>	<b>5,021</b>	<b>6,008</b>
<b>Expenses</b>					
Finance costs	46	28	59	127	202
Fees and commission expenses	387	552	149	1,405	579
Net loss on fair value changes	1	(18)	4	22	231
Net loss on derecognition of financial instruments under amortised cost category	-	-	-	-	-
Cost of material consumed	-	-	-	-	-
Purchases of Stock-in-Trade	2	3	3	7	13
Changes in inventories of finished goods, Stock-in-Trade and work-in-progress	1	1	1	2	(1)
Employee benefits expense	691	494	789	1,773	2,315
Depreciation and amortization expense	34	38	42	116	124
Other expenses	366	361	830	1,072	2,163
<b>Total expenses</b>	<b>1,528</b>	<b>1,459</b>	<b>1,877</b>	<b>4,524</b>	<b>5,626</b>
<b>V Profit before share of (loss)/profit of equity accounted investees, exceptional items and tax (III-IV)</b>	<b>181</b>	<b>157</b>	<b>101</b>	<b>497</b>	<b>382</b>
<b>VI Exceptional items</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>VII Profit before share of (loss)/profit of equity accounted investees and tax (V-VI)</b>	<b>181</b>	<b>157</b>	<b>101</b>	<b>497</b>	<b>382</b>
Share of (loss)/profit of equity accounted investees	131	110	92	317	214
<b>VIII Profit before tax</b>	<b>312</b>	<b>267</b>	<b>193</b>	<b>814</b>	<b>596</b>
<b>IX Tax expense:</b>	<b>41</b>	<b>55</b>	<b>3</b>	<b>136</b>	<b>58</b>
(a) Current tax	51	27	32	131	99
(b) Current tax expense relating to prior years	(7)	6	(18)	(7)	(20)
(c) Deferred tax	(3)	22	(11)	12	(21)
<b>X Profit from continuing operations for the period (VIII-IX)</b>	<b>271</b>	<b>212</b>	<b>190</b>	<b>678</b>	<b>538</b>
<b>XI Profit from discontinued operations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XII Tax expenses of discontinued operations</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XIII Profit from Discontinued operations (after tax) (XI-XII)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>XIV Net Profit for the period (X+XIII)</b>	<b>271</b>	<b>212</b>	<b>190</b>	<b>678</b>	<b>538</b>
<b>XV Profit from continuing operations for the period attributable to</b>					
(a) Owners of the Company	271	212	190	678	538
(b) Non-controlling interest	-	-	-	-	-
<b>XVI Profit from discontinued operations for the period attributable to</b>					
(a) Owners of the Company	-	-	-	-	-
(b) Non-controlling interest	-	-	-	-	-
<b>XVII Other Comprehensive Income</b>					
A (i) Items that will not be reclassified to profit or loss	(11)	(10)	(10)	(33)	(32)
(ii) Income tax relating to items that will not be reclassified to profit or loss	3	3	3	9	9
B. (i) Items that will be reclassified to profit or loss	-	-	-	-	-
(ii) Income tax relating to items that will be reclassified to profit or loss	-	-	-	-	-
<b>XVIII Total Comprehensive Income for the period (Comprising Profit and Other comprehensive Income for the period) (XIV+XVII)</b>	<b>263</b>	<b>205</b>	<b>183</b>	<b>654</b>	<b>515</b>
<b>XIX Paid-up Equity Share Capital (face value of Rs. 6 each)</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>	<b>1,553</b>
<b>XX Earnings per equity share (for continuing operation)</b>					
(a) Basic	1.02	0.79	0.71	2.53	1.99
(b) Diluted	0.98	0.79	0.71	2.45	1.99
<b>XXI Earnings per equity share (for discontinued operation):</b>					
(a) Basic	-	-	-	-	-
(b) Diluted	-	-	-	-	-



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**Notes**

- The above results have been reviewed and recommended by the Audit Committee and approved by the Board at their meeting held on February 12, 2020
- The company has adopted Indian Accounting Standards (Ind AS) from April 1, 2019 with a transition date of April 1, 2018. The financial results have been prepared in accordance with Ind AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2016.
- Reconciliation of the financial results of corresponding quarter and nine months ended 31 December 18 to those reported under previous IGAAP are summarized below:

Particulars	(Rs. In Lakh)	
	Quarter ended	Nine Months Ended
	December 31, 2018	December 31, 2018
Profit after tax as per previous GAAP	193	776
<b>Adjustments:</b>		
Amortisation of interest free loan to Employee'	-	-
Depreciation on investment property	-	-
Amortisation of SD receivable/payable	-	(2)
Fair value of investment	108	(85)
Actuarial loss on defined benefit plans (net of tax)	4	26
Expected credit loss	(16)	(55)
Fair value of inventory	(111)	(146)
Amortisation of Processing fees	-	-
Tax impact of above adjustments	19	27
<b>Total adjustments</b>	<b>4</b>	<b>(235)</b>
<b>Profit after tax as per Ind AS</b>	<b>197</b>	<b>541</b>
<b>Other comprehensive income (net of tax):</b>	<b>(14)</b>	<b>(15)</b>
Actuarial loss on defined benefit plans (net of tax)	-	(11)
<b>Total comprehensive income as per Ind AS</b>	<b>183</b>	<b>515</b>
	-	-

4. The Company has opted to avail the relaxations provided by The Securities and Exchange Board of India (SEBI), vide its circular no. CIR/CFD/FAC/62/2016 dated July 5, 2016 ("the circular"), as available to the listed entities. Accordingly the Company has provided Ind AS compliant financial results only for the corresponding quarter and nine months ended on December 31, 2018 along with financial results for the quarter and nine months ended December 31, 2019.

5. In accordance with Ind AS-108 "Operating Segments" and based in "management evaluation", the Chief Operating Decision Makers evaluates the Company's performance and allocates resources based on an analysis of various performance indicators by business segment. Accordingly, information has been presented along these business segments. The accounting principles used in preparing financial statements are consistently applied to record revenue & expenditure in individual segments.

6. The basic and diluted earnings per share have been calculated in accordance with the Ind AS-33 "Earnings Per Share".

7. The consolidated results for the Quarter and nine months year ended 31 December 2019, include the results of followings :

**Subsidiaries:**

- M/s Almondz Finanz Limited.
- M/s Almondz Commodities Private Limited.
- M/s Almondz Wealth Advisors Limited.
- M/s Skiffle Healthcare Services Limited.
- M/s Almondz Global Infra- Consultant Limited.
- M/s North Square Projects Private Limited.

**Associates:**

- M/s Premier Alcobev Private Limited.
- M/s Almondz Insolvency Resolution Services Private Limited.

8. Figures for the previous period have been regrouped/reclassified wherever necessary to confirm to the current period's classification.



Consolidated unaudited Segment wise revenue, results, Segment assets and Segment liabilities for the Quarter/Nine months ended  
31 December 2019

Rupees In Lakh

Particulars	Quarter ended			Nine Months Ended	
	December 31, 2019	September 30, 2019	December 31, 2018	December 31, 2019	December 31, 2018
	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)
<b>Segment Revenue</b>					
(a) Debt and equity market operations	47	20	62	84	10
(b) Consultancy and advisory fees*	1,086	1,033	1,265	3,204	3,721
(c) Wealth Advisory / Broking activities	289	247	330	840	1,168
(d) Finance activities	112	110	142	338	635
(e) Healthcare activities	78	93	103	251	262
(f) Others**	6	6	2	19	18
<b>Total</b>	<b>1,618</b>	<b>1,509</b>	<b>1,904</b>	<b>4,736</b>	<b>5,814</b>
<b>Income from Operations</b>	<b>1,618</b>	<b>1,509</b>	<b>1,904</b>	<b>4,736</b>	<b>5,814</b>
<b>Segment Results</b>					
Profit before tax and interest from each segment					
(a) Debt and equity market operations	3	(33)	(18)	(118)	(505)
(b) Consultancy and advisory fees*	87	81	95	349	662
(c) Wealth Advisory / Broking activities	23	15	(10)	49	15
(d) Finance activities	86	99	61	234	331
(e) Healthcare activities	2	(5)	2	-	3
(f) Others**	4	5	1	12	4
<b>Total</b>	<b>205</b>	<b>162</b>	<b>131</b>	<b>526</b>	<b>510</b>
Less:					
1) Unallocable interest	5	(3)	4	5	28
2) Other unallocable expenditure (net off unallocable income)	19	8	26	24	100
<b>Profit before tax</b>	<b>181</b>	<b>157</b>	<b>101</b>	<b>497</b>	<b>382</b>
<b>Segment Assets</b>					
(a) Debt and equity market operations	987	780	967	987	967
(b) Consultancy and advisory fees*	4,262	4,466	3,932	4,262	3,932
(c) Wealth Advisory / Broking activities	2,565	2,397	3,042	2,565	3,042
(d) Finance activities	4,283	4,290	4,450	4,283	4,450
(e) Healthcare activities	523	546	645	523	645
(f) Others**	2,388	2,232	2,194	2,388	2,194
(g) Unallocated	3,571	3,715	4,488	3,571	4,488
<b>Total</b>	<b>18,579</b>	<b>18,426</b>	<b>19,718</b>	<b>18,579</b>	<b>19,718</b>
<b>Segment Liabilities</b>					
(a) Debt and equity market operations	367	5	184	367	184
(b) Consultancy and advisory fees*	1,749	2,390	1,939	1,749	1,939
(c) Wealth Advisory / Broking activities	1,468	1,308	1,756	1,468	1,756
(d) Finance activities	87	56	239	87	239
(e) Healthcare activities	140	134	138	140	138
(f) Others**	7	13	47	7	47
(g) Unallocated	1,195	1,053	1,332	1,195	1,332
<b>Total</b>	<b>5,013</b>	<b>4,959</b>	<b>5,635</b>	<b>5,013</b>	<b>5,635</b>

Others include:-

a) investment in associates (Premier Alcobev Private Limited) through subsidiary	2,363	2,232	1,928	2,363	1,928
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Unallocated include:-

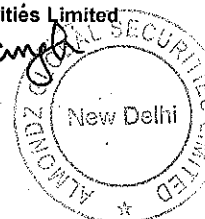
a) Unallocated Property Plant & Equipments	3,554	3,590	3,078	3,554	3,078
b) Other Financial / non Financial assets	17	125	1,410	17	1,410

\*The businesses Corporate finance / advisory service / infrastructure services have been regrouped / rearranged as consultancy and advisory services.

\*\*The businesses which are not reportable segments during the period / year have been grouped under the 'Others' segment.

For and on behalf of the Board of  
Almondz Global Securities Limited

*Jagdeep Singh*  
Jagdeep Singh  
Wholtime Director  
DIN : 00008348



Place: New Delhi  
Date: 12 Feb 2020



**Independent Auditor's Review Report on the Unaudited Consolidated Financial Results of the Almondz Global Securities Limited for the quarter/nine months ended 31<sup>th</sup> December 2019 pursuant to the Regulation 33 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015**

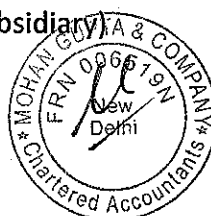
**TO THE BOARD OF DIRECTORS**

**ALMONDZ GLOBAL SECURITIES LIMITED**

1. We have reviewed the accompanying Statement of Consolidated Unaudited Financial Results of the company, **ALMONDZ GLOBAL SECURITIES LIMITED** ("the Parent") and its subsidiaries (the Parent and its subsidiaries together referred to as "the Group") and its share of the net profit after tax and total comprehensive income of its associates for the quarter/ nine months ended **31 December, 2019** and year to date from 1<sup>st</sup> April 2019 to 31<sup>st</sup> December 2019 (the "Statement") attached herewith, being submitted by the Parent pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 as amended (the 'Regulation'), read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 ('the Circular').
2. The preparation of the statement in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind-AS 34) "Interim Financial Reporting" prescribed under section 133 of the companies act 2013, as amended, read with the relevant rules issued thereunder and other accounting principles generally accepted in India, read with circular is the responsibility of the Parent Company's management and has been approved by the Parent's Board of Directors. Our responsibility is to issue a report on the statement based on our review.
3. We conducted our review in accordance with the Standard on Review Engagements (SRE) 2410, " Review of Interim Financial Information Performed by an Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. This Standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

We also performed procedures in accordance with the circular issued by the Securities and Exchange Board of India under regulation 33(8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, to the extent applicable.

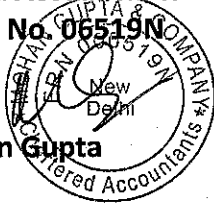
4. This statement includes the result of the following entities:  
Almondz Finanz Limited (Wholly Owned Subsidiary)



Almondz Global Infra Consultants Limited (Wholly Owned Subsidiary)  
Almondz Wealth Advisors Limited (Wholly Owned Subsidiary)  
Almondz Commodities Private Limited (Wholly Owned Subsidiary)  
Skiffle Healthcare Services Limited (Wholly Owned Subsidiary)  
North Square Projects Private Limited (Wholly Owned Subsidiary)  
Premier Alcobev Private Limited (Associate)  
Almondz Insolvency Resolutions Services Private Limited (Associate)

5. Based on our review conducted as stated above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results, prepared in accordance with the recognition and measurement principles laid down in the applicable Indian Accounting Standard (Ind-AS) prescribed under Section 133 of the Companies Act, 2013,, read with relevant rules issued there under and other recognized accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of regulation 33 of the SEBI ( Listing Obligation and Disclosure Requirements) Regulation, 2015, read with SEBI Circular No. CIR/CFD/FAC/62/2016 dated October 5, 2016 including the manner in which it is to be disclosed, or that it contains any material misstatement.

**For Mohan Gupta & Company**  
**Chartered Accountants**  
**Firm Reg. No. 06519N**



**CA Mohan Gupta**  
**Partner**  
**M.no- 082466**  
**Date : 12.02.2020**  
**Place : New Delhi**