

Almondz Global Securities Ltd.

Almondz Global Securities Limited
CIN No:- L74899DL1994PLC089839

Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001

Part I - Statement Of Unaudited Standalone Financial Results for the Quarter / Nine Months ended 31 December 2020

(Rs. in Lakh except per share data)

| Particulars | Quarter ended | | | Nine Months ended | | Year ended |
|--|-------------------|--------------------|-------------------|-------------------|-------------------|----------------|
| | December 31, 2020 | September 30, 2020 | December 31, 2019 | December 31, 2020 | December 31, 2019 | March 31, 2020 |
| | Unaudited | Unaudited | Unaudited | Unaudited | Unaudited | Audited |
| I Income | | | | | | |
| Revenue From operations | | | | | | |
| Interest Income | - | - | - | - | - | - |
| Dividend Income | 1 | 1 | 1 | 3 | 5 | 9 |
| Rental Income | - | - | - | - | - | - |
| Fees and commission income | 318 | 408 | 695 | 1,093 | 2,052 | 2,379 |
| Gain on fair value changes | 109 | 172 | - | 391 | - | 18 |
| Net gain on derecognition of financial instruments under amortised cost category | - | - | - | - | - | - |
| Sale of products | - | - | - | - | - | - |
| Others | 148 | 117 | 45 | 367 | 79 | 279 |
| Total Revenue From operations (I) | 574 | 698 | 741 | 1,854 | 2,136 | 2,685 |
| Other Income | 80 | 110 | 94 | 360 | 289 | 319 |
| Total Income (I+II) | 654 | 808 | 835 | 2,214 | 2,425 | 3,004 |
| IV Expenses | | | | | | |
| Finance costs | 15 | 16 | 10 | 43 | 22 | 67 |
| Fees and commission expense | 125 | 233 | 411 | 695 | 1,154 | 1,414 |
| Loss on fair value changes | - | - | 1 | - | 22 | 53 |
| Impairment on financial instruments | 31 | (7) | - | 66 | - | 30 |
| Net loss on derecognition of financial instruments under amortised cost category | - | - | - | - | - | - |
| Cost of material consumed | - | - | - | - | - | - |
| Purchases of Stock-In-Trade | - | - | - | - | - | - |
| Changes in inventories of finished goods, Stock-in-Trade and work-in-progress | - | - | - | - | - | - |
| Employee benefits expense | 213 | 195 | 214 | 571 | 594 | 798 |
| Depreciation and amortization expense | 26 | 31 | 18 | 97 | 54 | 160 |
| Other expenses | 101 | 219 | 135 | 460 | 455 | 622 |
| Total expenses (IV) | 510 | 687 | 789 | 1,822 | 2,301 | 3,144 |
| Profit/(loss) before exceptional items and tax (III-IV) | 144 | 121 | 46 | 392 | 124 | (140) |
| Exceptional items | - | - | - | - | - | - |
| Profit/(loss) before tax (V-VI) | 144 | 121 | 46 | 392 | 124 | (140) |
| VIII Tax expense: | 34 | 57 | 5 | 90 | 25 | (150) |
| (a) Current tax | 33 | 24 | 11 | 97 | 26 | 13 |
| (b) Current tax expense relating to prior years | - | 1 | (9) | (58) | (9) | (10) |
| (c) Deferred tax / MAT credit | 1 | 32 | 3 | 51 | 9 | (153) |
| IX Profit/(Loss) from continuing operations for the period (VII-VIII) | 110 | 64 | 41 | 302 | 98 | 10 |
| X Profit/(Loss) from discontinued operations | - | - | - | - | - | - |
| XI Tax expenses of discontinued operations | - | - | - | - | - | - |
| XII Profit from Discontinued operations (after tax) (X-XI) | - | - | - | - | - | - |
| XIII Net Profit (loss) for the period (IX+XII) | 110 | 64 | 41 | 302 | 98 | 10 |
| XIV Other Comprehensive Income | | | | | | |
| A (i) Items that will not be reclassified to profit or loss | | | | | | |
| -> Re-measurement losses on defined benefit plans | 13 | 13 | (9) | 39 | (26) | 53 |
| -> Income tax relating to items that will not be reclassified to profit or loss | (4) | (4) | 3 | (12) | 7 | (16) |
| B. (i) Items that will be reclassified to profit or loss | | | | | | |
| (ii) Income tax relating to items that will be reclassified to profit or loss | - | - | - | - | - | - |
| XV Total Comprehensive Income for the period (Comprising Profit and Other comprehensive Income for the period) (XIII+XIV) | 119 | 73 | 35 | 330 | 79 | 47 |
| XVI Paid-up Equity Share Capital (face value of Rs. 6 each) | 1,553 | 1,553 | 1,553 | 1,553 | 1,553 | 1,553 |
| XVII Earnings per equity share (for continuing operation) | | | | | | |
| (a) Basic | 0.46 | 0.28 | 0.14 | 1.27 | 0.31 | 0.18 |
| (b) Diluted | 0.45 | 0.27 | 0.13 | 1.24 | 0.30 | 0.18 |
| XVIII Earnings per equity share (for discontinued operation): | | | | | | |
| (a) Basic | - | - | - | - | - | - |
| (b) Diluted | - | - | - | - | - | - |
| XIX Earnings per equity share (Total) including Other Comprehensive Income | | | | | | |
| (a) Basic | 0.46 | 0.28 | 0.14 | 1.27 | 0.31 | 0.18 |
| (b) Diluted | 0.45 | 0.27 | 0.13 | 1.24 | 0.30 | 0.18 |

SEBI Merchant Banking Registration No. INM00000834

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CIN : L74899DL1994PLC059839 • Email : delhi@almondz.com • Website : www.almondzglobal.com

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Notes

- 1 The above results have been reviewed and recommended by the Audit Committee and approved by the Board at their meeting held on February 11, 2021.
- 2 The financial results have been prepared in accordance with Ind-AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2018 accordance with Ind AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2018.
- 3 COVID-19 was declared a Global Pandemic on 11th March 2020 by the WHO and the GOI announced a Lockdown on 24th March 2020. We believe that the impact Assessment of this Pandemic is a continuous evolving process, given its intensity in the Financial Capital of India. Our company shall continue to monitor all material changes to future conditions arising due to Pandemic.
- 4 In accordance with Ind As-108 "Operating Segments" and based in "management evaluation", the Chief Operating Decision Management evaluates the Company's performance and allocates resources based on an analysis of various performance indicators by business segment. Accordingly, information has been presented along these business segments. The accounting principles used in preparing financial statements are consistently applied to record revenue & expenditure in individual segments.
- 5 The basic and diluted earnings per share have been calculated in accordance with the Ind AS-33 "Earnings Per Share".
- 6 Figures for the previous period have been regrouped/reclassified wherever necessary to confirm to the current period's classification.

For and on behalf of the Board of
Almondz Global Securities Limited



Jagdeep Singh
Wholesale Director
DIN : 00008348

Place: New Delhi
Date: 11 - February 2021

Almondz Global Securities Limited
Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001
Standalone unaudited Segment wise revenue, results , Segment assets and Segment liabilities for the Quarter / Nine Months ended 31 December 2020

| Particulars | Quarter ended | | | Nine Months ended | | Year ended |
|---|-------------------|--------------------|-------------------|-------------------|-------------------|----------------|
| | December 31, 2020 | September 30, 2020 | December 31, 2019 | December 31, 2020 | December 31, 2019 | March 31, 2020 |
| | Unaudited | Unaudited | Unaudited | Unaudited | Unaudited | Audited |
| Segment Revenue | | | | | | |
| (a) Debt and equity market operations | 224 | 282 | 47 | 707 | 84 | 65 |
| (b) Consultancy and Advisory fees | 160 | 249 | 474 | 641 | 1445 | 1677 |
| (c) Wealth Advisory / Broking activities | 190 | 167 | 220 | 506 | 607 | 943 |
| Income from Operations | 574 | 698 | 741 | 1854 | 2136 | 2685 |
| Segment results | | | | | | |
| Profit before tax and interest from each segment | | | | | | |
| (a) Debt and equity market operations | 134 | 106 | 3 | 324 | -118 | (293) |
| (b) Corporate finance/ advisory fees | 3 | 19 | 44 | (51) | 233 | 132 |
| (c) Wealth Advisory / Broking activities | 15 | 10 | 23 | 35 | 39 | 17 |
| Total | 152 | 135 | 70 | 308 | 154 | (144) |
| Less: | | | | | | |
| 1) Unallocable interest | 5 | 1 | 1 | 7 | 5 | 8 |
| 2) Other unallocable expenditure (net off unallocable income) | 3 | 13 | 23 | (91) | 25 | (12) |
| Profit before tax | 144 | 121 | 46 | 392 | 124 | (140) |
| Segment Assets | | | | | | |
| (a) Debt and equity market operations | 1544 | 587 | 987 | 1544 | 987 | 381 |
| (b) Corporate finance/ advisory fees | 743 | 881 | 1700 | 743 | 1700 | 814 |
| (c) Wealth Advisory / Broking activities | 2389 | 1852 | 2200 | 2389 | 2200 | 1706 |
| (e) Unallocated | 12352 | 12430 | 11143 | 12352 | 11143 | 12184 |
| Total Segment Assets | 17028 | 15750 | 16030 | 17028 | 16030 | 15085 |
| Segment Liabilities | | | | | | |
| (a) Debt and equity market operations | 628 | 88 | 367 | 628 | 367 | 96 |
| (b) Corporate finance/ advisory fees | 325 | 417 | 603 | 325 | 603 | 276 |
| (c) Wealth Advisory / Broking activities | 1793 | 1362 | 1365 | 1793 | 1365 | 970 |
| (e) Unallocated | 1395 | 1131 | 1195 | 1395 | 1195 | 1240 |
| | 4141 | 2998 | 3530 | 4141 | 3530 | 2582 |

For and on behalf of the Board of
Almondz Global Securities Limited

Jagdeep Singh

Jagdeep Singh
 Wholtime Director
 DIN : 00008348

Place: New Delhi
 Date: 11 - February 2021

Independent Auditor's Review Report on the Unaudited Standalone Financial Results of the Almondz Global Securities Limited for the quarter/nine months ended 31th December 2020 pursuant to the Regulation 33 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015

**To the Board of Directors
Almondz Global Securities Limited**

1. We have reviewed the accompanying Statement of Standalone Unaudited Financial Results ('the statement') of the company, **ALMONDZ GLOBAL SECURITIES LIMITED** ("the company") for the quarter and nine months ended **31 December, 2020** and year to date from **1st April 2020** to **31th December 2020** (the "Statement") attached herewith, being submitted by the company pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 as amended (the 'Regulation'), read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 ('the Circular').

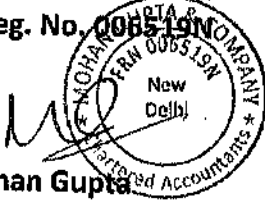
The preparation of the statement in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind-AS 34) "Interim Financial Reporting" prescribed under section 133 of the companies act 2013, as amended, read with the relevant rules issued thereunder and other accounting principles generally accepted in India, read with circular is the responsibility of the Company's management and has been approved by the Board of Directors of the Company. Our responsibility is to issue a report on the statement based on our review.

2. We conducted our review in accordance with the Standard on Review Engagements (SRE) 2410, "Review of Interim Financial Information Performed by an Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. This Standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.
3. Based on our review conducted as stated above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results, prepared in accordance with the recognition and measurement principles laid



down in the applicable Indian Accounting Standard (Ind-AS) prescribed under Section 133 of the Companies Act, 2013, read with relevant rules issued there under and other recognized accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, read with SEBI Circular No. CIR/CFD/FAC/62/2016 dated October 5, 2016 including the manner in which it is to be disclosed, or that it contains any material misstatement.

For Mohan Gupta & Company
Chartered Accountants
Firm Reg. No. 006519N



CA Mohan Gupta

Partner

M.no- 082466

Date : 11.02.2021

Place : New Delhi

UDIN: 21082466AAAABA8429

Almondz Global Securities Ltd.

Almondz Global Securities Limited
CIN: L74899DL1994PLC059030

Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001

Statement of Consolidated unaudited Financial Results for the Quarter / Nine Months ended 31 December 2020

(Rs. in lakh, except per share data)

| Particulars | Quarter ended | | | Nine Months Ended | | Year ended |
|---|-------------------|--------------------|-------------------|-------------------|-------------------|----------------|
| | December 31, 2020 | September 30, 2020 | December 31, 2019 | December 31, 2020 | December 31, 2019 | March 31, 2020 |
| | Unaudited | Unaudited | Unaudited | Unaudited | Unaudited | Audited |
| Income | | | | | | |
| I Revenue From operations | | | | | | |
| Interest Income | 165 | 127 | 105 | 381 | 331 | 449 |
| Dividend Income | 1 | 1 | 2 | 3 | 5 | 9 |
| Rental Income | - | - | 3 | 2 | 9 | 9 |
| Fees and commission Income | 895 | 1,053 | 1,451 | 2,877 | 4,287 | 5,402 |
| Net gain on fair value changes | 172 | 222 | - | 566 | - | 19 |
| Net gain on derecognition of financial instruments under amortised cost category | - | - | - | - | - | - |
| Sale of products | 4 | 3 | 9 | 9 | 22 | 29 |
| Others | 127 | 115 | 51 | 343 | 85 | 141 |
| Total Revenue From operations | 1,414 | 1,621 | 1,616 | 4,121 | 4,738 | 6,087 |
| II Other income | 57 | 91 | 91 | 304 | 295 | 365 |
| III Total Income (I+II) | 1,471 | 1,612 | 1,707 | 4,425 | 5,021 | 6,442 |
| Expenses | | | | | | |
| Finance costs | 35 | 34 | 45 | 100 | 127 | 240 |
| Fees and commission expenses | 409 | 497 | 387 | 1,317 | 1,405 | 1,894 |
| Net loss on fair value changes | - | - | 1 | - | 22 | 53 |
| Impairment on financial instruments | 43 | (9) | - | 81 | - | 55 |
| Net loss on derecognition of financial instruments under amortised cost category | - | - | - | - | - | - |
| Cost of material consumed | - | - | - | - | - | - |
| Purchases of Stock-in-Trade | 2 | - | 2 | 2 | 7 | 10 |
| Changes in inventories of finished goods, Stock-in-Trade and work-in-progress | - | 3 | 1 | 4 | 2 | (1) |
| Employee benefits expense | 494 | 497 | 691 | 1,405 | 1,773 | 2,602 |
| Depreciation and amortization expense | 59 | 69 | 34 | 195 | 118 | 334 |
| Other expenses | 234 | 316 | 366 | 763 | 1,072 | 1,297 |
| Total expenses | 1,279 | 1,397 | 1,520 | 3,687 | 4,624 | 5,499 |
| V Profit before share of (loss)/profit of equity accounted investees, exceptional items and tax (III-IV) | 192 | 215 | 181 | 668 | 497 | (48) |
| VI Exceptional items | - | - | - | - | - | - |
| VII Profit before share of (loss)/profit of equity accounted investees and tax (V-VI) | 192 | 215 | 181 | 668 | 497 | (48) |
| Share of (loss)/profit of equity accounted investees | 297 | 309 | 131 | 776 | 317 | 290 |
| VIII Profit before tax | 489 | 524 | 312 | 1,344 | 814 | 242 |
| IX Tax expense: | 42 | 68 | 41 | 135 | 136 | (191) |
| (a) Current tax | 45 | 43 | 51 | 151 | 131 | 69 |
| (b) Current tax expense relating to prior years | - | 1 | (7) | (58) | (7) | (53) |
| (c) Deferred tax / MAT Credit | (4) | 24 | (3) | 42 | 12 | (207) |
| X Profit from continuing operations for the period (VIII-IX) | 447 | 456 | 271 | 1,209 | 678 | 433 |
| XI Profit from discontinued operations | - | - | - | - | - | - |
| XII Tax expenses of discontinued operations | - | - | - | - | - | - |
| XIII Profit from Discontinued operations (after tax) (XI-XII) | - | - | - | - | - | - |
| XIV Net Profit for the period (X+XIII) | 447 | 456 | 271 | 1,209 | 678 | 433 |
| XV Profit from continuing operations for the period attributable to: | | | | | | |
| (a) Owners of the Company | 447 | 456 | 271 | 1,209 | 678 | 433 |
| (b) Non-controlling interest | - | - | - | - | - | - |
| XVI Profit from discontinued operations for the period attributable to: | | | | | | |
| (a) Owners of the Company | - | - | - | - | - | - |
| (b) Non-controlling interest | - | - | - | - | - | - |
| XVII Other Comprehensive Income | | | | | | |
| A. (i) items that will not be reclassified to profit or loss | 20 | 25 | (11) | 60 | (33) | 99 |
| (ii) Income tax relating to items that will not be reclassified to profit or loss | (5) | (7) | 3 | (17) | 9 | (28) |
| B. (i) items that will be reclassified to profit or loss | - | - | - | - | - | - |
| (ii) Income tax relating to items that will be reclassified to profit or loss | - | - | - | - | - | - |
| XVIII Total Comprehensive Income for the period (Comprising Profit and Other comprehensive Income for the period) (XIV+XVII) | 461 | 474 | 263 | 1,262 | 654 | 504 |
| XIX Paid-up Equity Share Capital (face value of Rs. 6 each) | 1,553 | 1,553 | 1,553 | 1,553 | 1,553 | 1,553 |
| XX Earnings per equity share (for continuing operation) | | | | | | |
| (a) Basic | 1.78 | 1.83 | 1.02 | 4.84 | 2.53 | 1.95 |
| (b) Diluted | 1.73 | 1.77 | 0.98 | 4.69 | 2.45 | 1.89 |
| XXI Earnings per equity share (for discontinued operation): | | | | | | |
| (a) Basic | - | - | - | - | - | - |
| (b) Diluted | - | - | - | - | - | - |

SEBI Merchant Banking Registration No. INM00000834

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Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi 110001 India. Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

Notes

- 1 The above results have been reviewed and recommended by the Audit Committee and approved by the Board at their meeting held on February 11, 2021.
- 2 The financial results have been prepared in accordance with Ind-AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard) Rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2010, accordance with Ind AS as prescribed under section 133 of the Companies Act, 2013 read with Rule 3 of the Companies (Indian Accounting Standard) Rules, 2015 and Companies (Indian Accounting Standards) Amendment Rules, 2010.
- 3 COVID-19 was declared a Global Pandemic on 11th March 2020 by the WHO and the GOI announced a Lockdown on 24th March 2020. We believe that the impact Assessment of this Pandemic is a continuous evolving process, given its intensity in the Financial Capital of India. Our company shall continue to monitor all material changes to future conditions arising due to Pandemic.
- 4 In accordance with Ind As-108 "Operating Segments" and based in "management evaluation", the management evaluates the Company's performance and allocates resources based on an analysis of various performance indicators by business segment. Accordingly, information has been presented along these business segments. The accounting principles used in preparing financial statements are consistently applied to record revenue & expenditure in individual segments.
- 5 The basic and diluted earnings per share have been calculated in accordance with the Ind AS-33 "Earnings Per Share".
- 6 The consolidated results for the Quarter and nine months ended 31 December 2020, include the results of followings :
Subsidiaries:
 - a. M/s Almondz Finanz Limited.
 - b. M/s Almondz Commodities Private Limited.
 - c. M/s Almondz Wealth Advisors Limited.
 - d. M/s Skiffie Healthcare Services Limited.
 - e. M/s Almondz Global Infra- Consultant Limited.
 - f. M/s North Square Projects Private Limited.Associates:
 - a. M/s Promlor Alcobev Private Limited.
 - b. M/s Almondz Insolvency Resolution Services Private Limited.
7. Figures for the previous period have been regrouped/reclassified wherever necessary to confirm to the current period's classification.

For and on behalf of the Board of
Almondz Global Securities Limited

Jagdeep Singh

Jagdeep Singh
Wholesale Director
DIN : 00008348

Place: New Delhi
Date: 11 - February 2021

Almondz Global Securities Limited (Consolidated)
Registered Office: 2nd Floor, 3 Scindia House, Janpath, New Delhi -110001
CIN: L74899DL1994PLC059839

Consolidated unaudited Segment wise revenue, results , Segment assets and Segment liabilities for the Quarter / Nine Months ended 31 December 2020

Rupees in Lakh

| Particulars | Quarter ended | | | Nine Months Ended | | Year Ended |
|---|-------------------|--------------------|-------------------|-------------------|-------------------|----------------|
| | December 31, 2020 | September 30, 2020 | December 31, 2019 | December 31, 2020 | December 31, 2019 | March 31, 2020 |
| | Unaudited | Unaudited | Unaudited | Unaudited | Unaudited | Audited |
| Segment Revenue | | | | | | |
| (a) Debt and equity market operations | 339 | 281 | 47 | 820 | 84 | (27) |
| (b) Consultancy and advisory fees* | 655 | 878 | 1,086 | 2,227 | 3,204 | 4,136 |
| (c) Wealth Advisory / Broking activities | 267 | 231 | 289 | 702 | 840 | 1,156 |
| (d) Finance activities | 114 | 100 | 112 | 294 | 338 | 449 |
| (e) Healthcare activities | 33 | 19 | 78 | 58 | 251 | 332 |
| (f) Others** | 6 | 12 | 6 | 20 | 19 | 9 |
| Total | 1,414 | 1,521 | 1,618 | 4,121 | 4,736 | 6,057 |
| Income from Operations | 1,414 | 1,521 | 1,618 | 4,121 | 4,736 | 6,057 |
| Segment Results | | | | | | |
| Profit before tax and interest from each segment | | | | | | |
| (a) Debt and equity market operations | 207 | 110 | 3 | 401 | (118) | (305) |
| (b) Consultancy and advisory fees* | 9 | 6 | 87 | (54) | 349 | 128 |
| (c) Wealth Advisory / Broking activities | 11 | 13 | 23 | 34 | 49 | 27 |
| (d) Finance activities | 27 | 78 | 86 | 139 | 234 | 102 |
| (e) Healthcare activities | (30) | (39) | 2 | (96) | - | (8) |
| (f) Others** | - | - | 4 | 4 | 12 | 6 |
| Total | 224 | 166 | 205 | 428 | 526 | (52) |
| Less: | | | | | | |
| 1) Unallocable interest | 5 | 1 | 5 | 7 | 5 | 8 |
| 2) Other unallocable expenditure (net off unallocable income) | 27 | (50) | 19 | (147) | 24 | (12) |
| Profit before tax | 192 | 215 | 181 | 568 | 497 | (48) |
| Segment Assets | | | | | | |
| (a) Debt and equity market operations | 1,937 | 737 | 987 | 1,937 | 987 | 381 |
| (b) Consultancy and advisory fees* | 3,685 | 3,669 | 4,262 | 3,685 | 4,262 | 3,555 |
| (c) Wealth Advisory / Broking activities | 2,726 | 2,196 | 2,555 | 2,726 | 2,565 | 2,068 |
| (d) Finance activities | 4,853 | 4,992 | 4,283 | 4,853 | 4,283 | 4,556 |
| (e) Healthcare activities | 505 | 563 | 523 | 505 | 523 | 673 |
| (f) Others** | 2,067 | 2,061 | 2,388 | 2,067 | 2,388 | 2,052 |
| (g) Unallocated | 5,342 | 6,059 | 3,571 | 5,342 | 3,571 | 5,553 |
| Total | 21,115 | 20,277 | 18,579 | 21,115 | 18,579 | 18,838 |
| Segment Liabilities | | | | | | |
| (a) Debt and equity market operations | 628 | 88 | 367 | 628 | 367 | 96 |
| (b) Consultancy and advisory fees* | 1,563 | 1,525 | 1,749 | 1,563 | 1,749 | 1,655 |
| (c) Wealth Advisory / Broking activities | 1,854 | 1,450 | 1,468 | 1,854 | 1,468 | 1,022 |
| (d) Finance activities | 93 | 728 | 87 | 93 | 87 | 158 |
| (e) Healthcare activities | 206 | 218 | 140 | 206 | 140 | 311 |
| (f) Others** | 57 | 11 | 7 | 57 | 7 | 19 |
| (g) Unallocated | 1,330 | 1,084 | 1,195 | 1,330 | 1,195 | 1,227 |
| Total | 5,731 | 5,104 | 5,013 | 5,731 | 5,013 | 4,488 |

*The businesses Corporate finance / advisory service / infrastructure services have been regrouped / rearranged as consultancy and advisory services.

**The businesses which are not reportable segments during the period / year have been grouped under the 'Others' segment.

For and on behalf of the Board of
Almondz Global Securities Limited

Jagdeep Singh

Jagdeep Singh
 Wholtime Director
 DIN : 00008348

Place: New Delhi
 Date: 11 - February 2021

Independent Auditor's Review Report on the Unaudited Consolidated Financial Results of the Almondz Global Securities Limited for the quarter/nine months ended 31th December 2020 pursuant to the Regulation 33 of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 as amended

**TO THE BOARD OF DIRECTORS
ALMONDZ GLOBAL SECURITIES LIMITED**

1. We have reviewed the accompanying Statement of Consolidated Unaudited Financial Results of the company, **ALMONDZ GLOBAL SECURITIES LIMITED** ("the Parent") and its subsidiaries (the Parent and its subsidiaries together referred to as "the Group") and its share of the net profit after tax and total comprehensive income of its associates for the quarter/ nine months ended **31 December, 2020** and year to date from 1st April 2020 to 31st December 2020 (the "Statement") attached herewith, being submitted by the Parent pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 as amended (the 'Regulation'), read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 ('the Circular').
2. The preparation of the statement in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind-AS 34) "Interim Financial Reporting" prescribed under section 133 of the companies act 2013, as amended, read with the relevant rules issued thereunder and other accounting principles generally accepted in India, read with circular is the responsibility of the Parent Company's management and has been approved by the Parent's Board of Directors. Our responsibility is to issue a report on the statement based on our review.
3. We conducted our review in accordance with the Standard on Review Engagements (SRE) 2410, " Review of Interim Financial Information Performed by an Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. This Standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

We also performed procedures in accordance with the circular issued by the Securities and Exchange Board of India under regulation 33(8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, to the extent applicable.

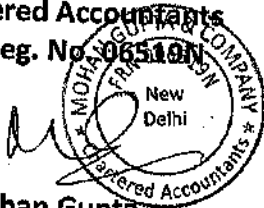
4. This statement includes the result of the following entities:



Almondz Finanz Limited (Wholly Owned Subsidiary)
Almondz Global Infra Consultants Limited (Wholly Owned Subsidiary)
Almondz Wealth Advisors Limited (Wholly Owned Subsidiary)
Almondz Commodities Private Limited (Wholly Owned Subsidiary)
Skiffle Healthcare Services Limited (Wholly Owned Subsidiary)
North Square Projects Private Limited (Wholly Owned Subsidiary)
Premier Alcobev Private Limited (Associate)
Almondz Insolvency Resolutions Services Private Limited (Associate)

5. Based on our review conducted as stated above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results, prepared in accordance with the recognition and measurement principles laid down in the applicable Indian Accounting Standard (Ind-AS) prescribed under Section 133 of the Companies Act, 2013,, read with relevant rules issued there under and other recognized accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015, read with SEBI Circular No. CIR/CFD/FAC/62/2016 dated October 5, 2016 including the manner in which it is to be disclosed, or that it contains any material misstatement.

For Mohan Gupta & Company
Chartered Accountants
Firm Reg. No. 065101



CA Mohan Gupta

Partner

M.no- 082466

Date: 11.02.2021

Place: New Delhi

UDIN: 21082466AAAABB3884