

COMPLAINTS DATA

SME IPOs AND FPOs

Data for month ending – March 31, 2023

SN	Received from	Pending as at the end of last month i.e., Feb 2023	Received during the month i.e., March 2023	Resolved during the month i.e., March 2023 *	Total Pending during the Month i.e., March 2023 #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors						
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)			N.A			
4	Other Sources (if any)						
5	Grand Total						

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month i.e., Feb 2023	Received during the month i.e., March 2023	Resolved during the month i.e., March 2023 *	Pending at the end of the Month i.e., March 2023 #
1	November, 2022	N.A	N.A	N.A	N.A
2	December, 2022	N.A	N.A	N.A	N.A
3	January, 2023	N.A	N.A	N.A	N.A
4	February, 2023	N.A	N.A	N.A	N.A
5	March, 2023	N.A	N.A	N.A	N.A
	Grand Total				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021				
2	2022				
3	2023		N.A		
4	2024				
5	2025				
	Grand Total				

The Merchant Banker is not involved in any assignment/pending assignment of SME IPOs and FPOs during the previous 6 months ending March 31, 2023