

**COMPLAINTS DATA**

**SME IPOs AND FPOs**

Data for month ending – December 31, 2021

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors						
2	SEBI (SCORES)						
3	Stock Exchanges (if relevant)			N.A			
4	Other Sources (if any)						
5	<b>Grand Total</b>						

**Trend of monthly disposal of complaints (For 5 months on rolling basis)-**

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	January, 2022	N.A	N.A	N.A	N.A
	<b>Grand Total</b>				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rollingbasis)-**

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021				
2	2022				
3	2023		N.A		
4	2024				
5	2025				
	<b>Grand Total</b>				

*The Merchant Banker is not involved in any assignment/pending assignment of SME IPOs and FPOs during the previous 3 months ending December 31, 2021*