

1. Name of the Listed Entity: Almondz Global Securities Ltd

2. Quarter Ending: 31 March 2019

1. Composition of Board of Directors								
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/ Executive/Non-Executive/Independent/Non minee)&	Date of Appointment in the current term/cessation	Tenure*	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Atul Kumar Shukla **	PAN:AHBPS 7974D; DIN: 00121601	Chairperson/ Independent/ Non Executive	29 September 2014	5 Years	2	3	1
Mr.	Navjeet Singh Sobti	PAN:AAYP 3411R DIN:00008 393	Executive	12 August 2014	-	1	1	NIL
Mr.	Jagdeep Singh	PAN:AXKPS 8228D DIN:00008 348	Executive	01 December 2014	-	1	1	NIL
Mr.	Krishan Lal Khetarpaul	PAN:AAEPK 6610N DIN:01268 756	Independent/ Non Executive	29 September 2014	5 Years	1	1	NIL
Mr.	Ajay Kumar	PAN:AEMP K5513J DIN:01954 049	Independent/ Non Executive	11 August 2015	5 Years	2	1	3
Ms.	Neelu Jain	PAN:AADPJ 6116B DIN:00227 058	Non Executive	12 August 2014	-	1	1	NIL
Mr.	Abdul Redha Mustafa Abdul Redha Sultan	DIN:05101 233	Non Executive	11 November 2011	-	1	NIL	NIL
Mr.	Sanjay Tiwari	PAN:AAMP T9380J DIN:03407 426	Non Executive	10 February 2011	-	1	NIL	NIL
Mr.	Satish Chandra Sinha	PAN:ADSPS 3128C DIN:03598 173	Independent/ Non Executive	25 May 2016	5 Years	1	1	NIL
Mr.	Debashish Ghoshal	PAN:ACWP G3140P SEBI Merchant Banking Registration No. INM000000834 03040937	Executive	10 August 2017	3 Years	1	NIL	NIL

\$ PAN Number of any director would not be displayed on the website of Stock Exchange
 & Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen
 *To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

**** Mr. Atul Kumar Shukla, Chairman of the Board, has resigned from the company and its committee on 29.03.2019.**

II. Composition of Committees

Name of Committee	Name of Committee members	Category(Chairperson/Executive/Non-Executive/independent/Nominee)\$
1. Audit Committee	(i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla** (iii) Mr. Krishan Lal Khetarpaul (iv) Mr. Satish Chandra Sinha	Chairperson/Independent/Non Executive Independent/Non executive Independent/ Non Executive Independent/ Non Executive
2. Nomination & Remuneration Committee	(i) Mr. Ajay Kumar, (ii) Mr. Atul Kumar Shukla** (iii) Mr. Krishan Lal Khetarpaul	Chairperson/Independent/Non Executive Independent/Non Executive Independent/ Non Executive
3. Risk Management Committee	(Not applicable)	(Not applicable)
4. Stakeholders Relationship Committee	(i) Mr. Ajay Kumar (ii) Mr. Navjeet Singh Sobti (iii) Mr. Jagdeep Singh	Chairperson/Independent/Non Executive Executive Executive

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

**** Mr. Atul Kumar Shukla, Chairman of the Board, has resigned from the company and its committee on 29.03.2019.**

III. Meeting of Board of Directors

Date(s) of Meeting(if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings in number of days*
12 November 2018	11 February 2019	90days

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
11 February 2019 (Audit Committee)	Yes (out of 4 Members 3 were present)	12 November 2018	90 DAYS

*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional

V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA) refer to note below



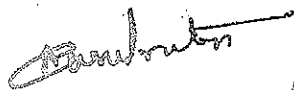
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	No Material Transaction was involved ; hence shareholders approval not required
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee	NA

Note:

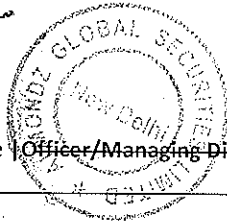
1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A." may be indicated.
2. If status is "No" details of non compliance may be given here.

VI. Affirmations

1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015
4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting



Ajay Pratap
Company Secretary/Compliance Officer/Managing Director/CEO



I. Disclosure on website in terms of Listing Regulations		
Item	Compliance Status (Yes/N/NA)	
Details of business		
Terms and conditions of appointment of independent directors	Yes	
Composition of various committees of board of directors	Yes	
Code of conduct of board of directors and senior management personnel	Yes	
Details of establishment of vigil mechanism/ Whistle Blower policy	Yes	
Criteria of making payments to non-executive directors	Yes	
Policy on dealing with related party transactions	Yes	
Policy for determining 'material' subsidiaries	Yes	
Details of familiarization programmes imparted to independent directors	Yes	
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	
email address for grievance redressal and other relevant details	Yes	
Financial results	Yes	
Shareholding pattern	Yes	
Details of agreements entered into with the media companies and/or their associates	N.A.	
New name and the old name of the listed entity	N.A.	
II Annual Affirmations		
Particulars	Regulation Number	Compliance status (Yes/No/NA)
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1)	Yes
Meeting of Board of directors	17(2)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	N.A.
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	Yes



Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied.


Ajay Pratap

Company Secretary / Compliance Officer / Managing Director / CEO

