

almondz  
the financial powerhouse

**Almondz Global Securities Ltd.**

Ref:agsl/corres/Bse/Nse/19-20/13(3)/0056

10 January, 2020

**The General Manager  
(Listing & Corporate Relations)  
Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001**

✓  
**The Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai – 400051**

**Re: Statement of Investor Complaints for the Quarter Ended 31<sup>st</sup> December, 2019 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Sir/Ma'm,

Pursuant to provisions of Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement of Investor Complaints for the Quarter ended 31<sup>st</sup> December, 2019.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,  
**For Almondz Global Securities Ltd.**



**Ajay Pratap  
Company Secretary &  
Vice President Corporate Affairs**



**Encl: a/a**

SEBI Merchant Banking Registration No. INM000000834

F - 33/3 Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel.: + 91 11 4350 0700 / 800 Fax : + 91 11 4350 0735, 4350 0787

CIN : L74899DL1994PLC059839 • Email : delhi@almondz.com • Website : www.almondzglobal.com

Registered Office: 2<sup>nd</sup> Floor, 3 Scindia House, Janpath, New Delhi 110001 India.Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

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**Scrip Code: 531400**

**Statement of Investor Complaints for the Quarter Ended 31<sup>st</sup> December, 2019 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Number of Investor Complaints pending at the beginning of the Quarter Ended 31 <sup>st</sup> December, 2019	NIL
Number of Investor Complaints received during the Quarter	0
Number of Investor Complaints disposed off during the Quarter	0
Number of Investor Complaints remaining unresolved at the end of the Quarter Ended 31 <sup>st</sup> December, 2019	NIL

**For Almondz Global Securities Ltd.**



**Ajay Pratap  
Company Secretary &  
Vice President Corporate Affairs**



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