

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/Nse/17-18/13(3)/0034

11 October, 2017

The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400051

Re: Statement of Investor Complaints for the Quarter Ended 30 September, 2017
in accordance with Regulation 13(3) of the SEBI (Listing Obligation and
Disclosure Requirements) Regulations, 2015

Sir/Ma'm,

Pursuant to provisions of Regulation 13(3) of SEBI (Listing Obligations and Disclosure
Requirements) Regulations, 2015, please find enclosed herewith the Statement of Investor
Complaints for the Quarter ended 30 September, 2017.

You are requested to kindly take the same on your record.

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.



Ajay Pratap
Company Secretary &
Vice President Corporate Affairs

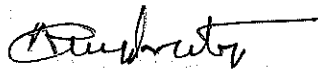
Encl: a/a

Scrip Code: 531400

Statement of Investor Complaints for the Quarter Ended 30 September, 2017 in accordance with Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015

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|---|-----|
| Number of Investor Complaints pending at the beginning of the Quarter Ended 30 September, 2017 | NIL |
| Number of Investor Complaints received during the Quarter | 1 |
| Number of Investor Complaints disposed off during the Quarter | 1 |
| Number of Investor Complaints remaining unresolved at the end of the Quarter Ended 30 September, 2017 | NIL |

For Almondz Global Securities Ltd.



Ajay Pratap
Company Secretary &
Vice President Corporate Affairs

SEBI Merchant Banking Registration No. INM00000834