

Format of holding of Specified Securities

1. Name of Entity: Almondz Global Securities Ltd

2. Script Code: 531400

3. Shareholding Pattern filed under: Reg. 31 (1) (b)

If under 31 (1) (b) then indicate the report for the Quarter Ended: 30.09.2019

If under 31 (1)(c) then indicate date of allotment/extinguishment-N.A.

4. Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information:

SR No.	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		Yes
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Lock-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid-up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as Zero' by default on submission of the format of holding of specified securities.

6. The tabular format for disclosure of holding of specified securities is as follows:-



SEBI Merchant Banking Registration No. INM000000834

F - 33/3 Okhla Industrial Area Phase - II, New Delhi - 110 020, India. Tel.: + 91 11 4350 0700 / 800 Fax : + 91 11 4350 0735, 4350 0787

CIN : L74899DL1994PLC059839 • Email : delhi@almondz.com • Website : www.almondzglobal.com

Registered Office: 2<sup>nd</sup> Floor, 3 Scindia House, Janpath, New Delhi 110001 India. Tel.: +91 11 4151 4666-669, Fax: +91 11 4151 4665

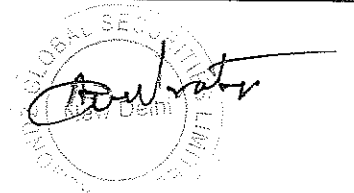
ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00  
 SHAREHOLDING PATTERN AS ON 30/09/2019  
 Table - I Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = (IV+V+VI)	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI) = VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
								Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter Group	4	14915549	0	0	14915549	57.6224	14915549	0	14915549	57.6224	0	49.2507	0	0.0000	0	0.0000	14915549
(B)	Public	4336	10969418	0	0	10969418	42.3776	10969418	0	10969418	42.3776	4400000	50.7493	0	0.0000	5742	0.0523	10836530
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Share Held By Employees Trusts	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total A+B+C1+C2	4340	25884967	0	0	25884967	100.0000	25884967	0	25884967	100.0000	0	100.0000	0	0.0000	5742	0.0222	25752079

ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00  
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Table - II Statement showing shareholding pattern of the Promoter and Promer Group

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares underlying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																		
(a)	Individual		2	110715	0	0	110715	0.4277	110715	0	110715	0.4277	0	0.4277	0	0.0000	0	0.0000	110715
	Gurpreet N S Sobti	ABJPS9400L		50000	0	0	50000	0.1932	50000	0	50000	0.1932	0	0.1932	0	0.0000	0	0.0000	50000
	Navjeet Singh Sobti	AAYP3411R		60715	0	0	60715	0.2346	60715	0	60715	0.2346	0	0.2346	0	0.0000	0	0.0000	60715
(b)	Huf		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Other - Body Corporate		2	14804834	0	0	14804834	57.1947	14804834	0	14804834	57.1947	0	57.1947	0	0.0000	0	0.0000	14804834
	Avonmore Capital & Management Services Ltd	AABCA0478N		14719744	0	0	14719744	56.8660	14719744	0	14719744	56.8660	0	56.8660	0	0.0000	0	0.0000	14719744
	Innovative Money Matters Pvt Ltd	AAACI2156A		85090	0	0	85090	0.3287	85090	0	85090	0.3287	0	0.3287	0	0.0000	0	0.0000	85090
	Sub - Total		4	14915549	0	0	14915549	57.6224	14915549	0	14915549	57.6224	0	57.6224	0	0.0000	0	0.0000	14915549
(2)	Foreign																		
(a)	Individual(nri/ Foreign Individual )		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub - Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group		4	14915549	0	0	14915549	57.6224	14915549	0	14915549	57.6224	0	57.6224	0	0.0000	0	0.0000	14915549

ALMONDZ GLOBAL SECURITIES LTD.  


ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00  
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 Table - III Statement showing shareholding pattern of the Public shareholding

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No . Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares undelying convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Institutions																		
(a)	Mutual Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Financial Inst/banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(h)	Provident Funds/ Pension Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any other		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(2)	Central Govt /state Govt/ President Of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub-total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(3)	Non-institutions																		
(a)	(i) Individual-Holding Nom. Val. upto Rs.2 lakhs		4099	2289675	0	0	2289675	8.8456	2289675	0	2289675	8.8456	3900000	20.4381	0	0.0000	5742	0.2508	2156787
	(ii) Individual-Holding Nom. Val. greater than Rs.2 lakhs		23	4500706	0	0	4500706	17.3873	4500706	0	4500706	17.3873	500000	16.5122	0	0.0000	0	0.0000	4500706
	B. L. Mahajan	AOSPM7286E		274368	0	0	274368	1.0600	274368	0	274368	1.0600	0	0.9060	0	0.0000	0	0.0000	274368
	Balbir Kumar	ABWPK7349B		400000	0	0	400000	1.5453	400000	0	400000	1.5453	0	1.3208	0	0.0000	0	0.0000	400000
	Darshana Anant Sanghvi	AAFPS3583H		359536	0	0	359536	1.3890	359536	0	359536	1.3890	0	1.1872	0	0.0000	0	0.0000	359536
	Dilipkumar Lakhi	AABPL9618G		1170117	0	0	1170117	4.5205	1170117	0	1170117	4.5205	0	3.8637	0	0.0000	0	0.0000	1170117
	Jagdeep Singh	AXKPS8228D		424119	0	0	424119	1.6385	424119	0	424119	1.6385	0	1.4004	0	0.0000	0	0.0000	424119
(b)	Nbfc Registered With Rbi		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Employees Trust		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Overseas Depository Holding DRs		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other												0.0000						
	Other - Body Corp		56	338576	0	0	338576	1.3080	338576	0	338576	1.3080	0	1.1180	0	0.0000	0	0.0000	338576
	Other - Trusts		1	75	0	0	75	0.0003	75	0	75	0.0003	0	0.0002	0	0.0000	0	0.0000	75
	Other - Foreign Body Corp		1	3091500	0	0	3091500	11.9432	3091500	0	3091500	11.9432	0	10.2080	0	0.0000	0	0.0000	3091500
	Al Anwar Holdings Saog	AAGCA7436F		3091500	0	0	3091500	11.9432	3091500	0	3091500	11.9432	0	10.2080	0	0.0000	0	0.0000	3091500
	Other - Clearing Member		13	4341	0	0	4341	0.0168	4341	0	4341	0.0168	0	0.0143	0	0.0000	0	0.0000	4341
	Other - N R I - NON - REPATARIABLE		21	231935	0	0	231935	0.8960	231935	0	231935	0.8960	0	0.7658	0	0.0000	0	0.0000	231935
	Other - N R I - REPATARIABLE		29	55989	0	0	55989	0.2163	55989	0	55989	0.2163	0	0.1849	0	0	0	0	55989
	Other - Individual HUF		92	175790	0	0	175790	0.6791	175790	0	175790	0.6791	0	0.5805	0	0	0	0	175790
	Other - IEPF AUTHORITY		1	280831	0	0	280831	1.0849	280831	0	280831	1.0849	0	0.9273	0	0	0	0	280831
	Investor Education And Protection Fund Authority Ministry Of Corporate Affairs			280831	0	0	280831	1.0849	280831	0	280831	1.0849	0	0.9273	0	0	0	0	280831
	Sub-total (B)(3)		4336	10969418	0	0	10969418	42.3776	10969418	0	10969418	42.3776	0	36.2207	0	0	5742	0.0523	10836530
	Total Public Shareholding		4336	10969418	0	0	10969418	42.3776	10969418	0	10969418	42.3776	4400000	50.7493	0	0	5742	0.0523	10836530

ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00  
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Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

S.No.	Category & Name of the Shareholder (I)	PAN (II)	No of Shareholders (III)	No. of fully paid Equity Share Held (IV)	No. Of Partly Paid Equity Shares Held (V)	No. Of shares underlying Depository Receipts (VI)	Total Nos. Shares Held (VII) = IV+V+VI	Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2)	No of Voting Rights held in each class of securities (IX)				No of shares undelying outstanding convertible securities (including warrants) (X)	Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2)	No. of Locked in Shares (XII)		No of Shares pledged or otherwise encumbered (XIII)		No of Equity Shares Held in Dematerialized form (XIV)
									Class X	Class Y	Total	Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian/DR Holder																		
(a)	names																		
(2)	Employees Benefit Trust (under SEBI Based employees benefit ) Regulation 2014																		
(a)	names																		
	Total Non-Promoter Non Public shareholding C = C1+C2																		

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**ALMONDZ GLOBAL SECURITIES LTD. FACE VALUE RS. 6.00**  
**SHAREHOLDING PATTERN AS ON 30/09/2019**  
**Table V- Statement showing details of significant beneficial owners**

Sr. No.	Details of the significant beneficial owner (i)			Details of the registered owner (id)			Particulars of the shares in which significant		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	

A handwritten signature in black ink is written over a circular stamp. The stamp contains the text 'ALMONDZ GLOBAL SECURITIES LTD.' around the perimeter. The signature appears to be 'Ravi' or similar.