

Almondz Global Securities Ltd.

Annexure-1

1. Name of the Listed Entity: Almondz Global Securities Ltd

2. Quarter Ending: 31 December 2018

1. Composition of Board of Directors								
Title (Mr./Ms)	Name of the Director	PANs and DIN	Category (Chairperson/ Executive/Non- Executive/Independent/Non minee)&	Date of Appointment in the current term/cessation	Tenure*	No. of Directorship in listed entities including this listed entity (Refer Regulations 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulations 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this entity (Refer Regulations 26(1) of Listing Regulations)
Mr.	Atul Kumar Shukla	PAN:AH BPS797 4D; DIN: 001216 01	Chairperson/ Independent/ Non Executive	29 September 2014	5 Years	2	3	1
Mr.	Navjeet Singh Sobti	PAN:AA YPS341 1R DIN:00 008393	Executive	12 August 2014	-	1	1	NIL
Mr.	Jagdeep Singh	PAN:AX KPS822 8D DIN:00 008348	Executive	01 December 2014	-	1	1	NIL
Mr.	Krishan Lall Khetarpaul	PAN:AA EPK661 0N DIN:01 268756	Independent/ Non Executive	29 September 2014	5 Years	2	1	NIL
Mr.	Ajay Kumar	PAN:AE MPK55 13J DIN:01 954049	Independent/ Non Executive	11 August 2015	5 Years	2	1	3
Ms.	Neelu Jain	PAN:AA DPJ611 6B DIN:00 227058	Non Executive	12 August 2014	-	1	1	NIL
Mr.	Abdul Redha Mustafa Abdul Redha Sultan	DIN:05 101233	Non Executive	11 November 2011	-	1	NIL	NIL
Mr.	Sanjay Tiwari	PAN:AA MPT93 80J DIN:03 407426	Non Executive	10 February 2011	-	1	NIL	NIL



Mr.	Satish Chandra Sinha	PAN:AD SPS312 8C DIN:03 598173	Independent/ Non Executive	25 May 2016	5 Years	2	1	1
Mr.	Debashish Ghoshal	PAN:AC WPG31 40PDIN :03040 937	Executive	10 August 2017	3 Years	1	NIL	NIL

Whether the Listed Entity has a Regular Chairperson: Yes

\$ PAN Number of any director would not be displayed on the website of Stock Exchange

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

*To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees

Name of Committee	Name of Committee members	Category(Chairperson/Executive/Non-Executive/independent/Nominee)\$	Date of Appointment
1. Audit Committee	(i) Mr. Ajay Kumar,	Chairperson/Independent/Non Executive	11-08-2015
	(ii) Mr. Atul Kumar Shukla	Independent/Non Executive	11-08-2006
	(iii) Mr. Krishan Lall Khetarpaul	Independent/ Non Executive	22-02-2007
	(iv) Mr. Satish Chandra Sinha	Independent/ Non Executive	10-08-2017

Whether the Audit Committee has a Regular Chairperson: Yes

2. Nomination & Remuneration Committee	(i) Mr. Ajay Kumar,	Chairperson/Independent/Non Executive	11-08-2015
	(ii) Mr. Atul Kumar Shukla	Independent/Non Executive	11-08-2006
	(iii) Mr. Krishan Lall Khetarpaul	Independent/ Non Executive	22-02-2007

Whether the Nomination and Remuneration Committee has a Regular Chairperson: Yes

3. Risk Management Committee	(Not applicable)	(Not applicable)	
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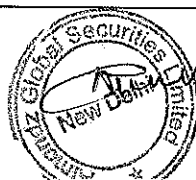
4. Stakeholders Relationship Committee	(i) Mr. Ajay Kumar	Chairperson/Independent/Non Executive	11-08-2015
	(ii) Mr. Navjeet Singh Sobti	Executive	12-08-2014
	(iii) Mr. Jagdeep Singh	Executive	25-06-2005


Whether the Stakeholders Relationship Committee has a Regular Chairperson: Yes

& Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one Category write all categories separating them with hyphen

III. Meeting of Board of Directors

Date(s) of Meeting(if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings in number of days*
10 August 2018	12 November 2018	93 Days



IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
12 November 2018 (Audit Committee)	Yes (out of 4 Members 3 were present)	10 August 2018 (Audit Committee)	93 days
*This information has to be mandatorily be given for audit committee, for rest of the committee giving this information is optional			
V. Related Party Transactions			
Subject		Compliance Status (Yes/No/NA) refer to note below	
Whether prior approval of audit committee obtained		Yes	
Whether shareholder approval obtained for material RPT		No Material Transaction was involved ; hence shareholders approval not required	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by audit committee		NA	
Note: 1. In the column "Compliance Status" Compliance or non-compliance may be indicated by Yes/No/N.A.. for example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case Listing Entity has no related party transactions, the word "N.A. may be indicated. 2. If status is "No" details of non compliance may be given here.			
VI. Affirmations			
1. The composition of Board of Director is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. 2. The composition of the following committee is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders Relationship Committee d. Risk management committee (applicable to the top 100 listed entities) 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015 4. The meetings of the board of directors and the above committees have been conducted in the manners specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015. 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First Report. This will be placed in the ensuing Board Meeting			
 Ajay Pratap Company Secretary/Compliance Officer/Managing Director/CEO		