

Almondz Global Securities Ltd.

Ref:agsl/corres/Bse/17-18/cl40(9)/0033

11 October, 2017

**The General Manager
(Listing & Corporate Relations)
Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001**

**The Listing Department
National Stock Exchange of India Ltd.
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051**

**Re: Compliance Certificate-Regulation 40 (9) of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year
ended 30 September 2017**

Sir/Ma'm

Pursuant to Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith the certificate issued by Mr. C.B. Mishra, Practicing Company Secretary for half year ended 30 September 2017.

You are requested to kindly take the same on your record

Thanking you,

Yours Faithfully,
For Almondz Global Securities Ltd.



**Ajay Pratap
Company Secretary &
Vice President Corporate Affairs**

Encl: a/a



C.B. Mishra & Associates
Company Secretaries

Unique Code Number : I2006DE551100

Certificate under Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 for the half year ended 30/09/2017

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Almondz Global Securities Limited having Regd. Office at 2nd Floor, 3 Scindia House, Janpath, New Delhi - 110001 maintained by M/s. Beetal Financial & Computer Services Private Ltd. (*the RTA*) pertaining to transfer of equity shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the RTA, we hereby certify that the Company has delivered during half year ended on 30th September, 2017 :

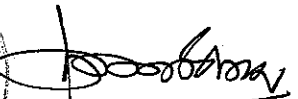
- A) Share Certificates relating to the transfer of shares received during the period from 1st April, 2017 to 30th September, 2017 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, transmissions, sub-division, consolidation, renewal of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable : NIL

Place: New Delhi

Date : 11/10/2017

For C.B. Mishra & Associates
Company Secretaries




(C.B. MISHRA)
Prop.
CP.7257